**Child Protection Files**

Guidance for Essex schools

and education settings

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**ECC Education Safeguarding Team**

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# Introduction

Child Protection (CP) files should contain all safeguarding information about a pupil. The Designated Safeguarding Lead (DSL) is responsible for Child Protection files, and it is their responsibility to ensure they keep written records of concerns and referrals which are up to date, accurate, detailed and secure, as set out in Annex C of [Keeping children safe in education 2023](https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/1161273/Keeping_children_safe_in_education_2023_-_statutory_guidance_for_schools_and_colleges.pdf).

# Child Protection file storage systems

All safeguarding information about a child should be held in one place within one system. The system can be either paper or electronic files. The CP files should be kept separately to the main pupil file.

If a setting moves from a paper to an electronic system, there should be consideration about whether to transfer existing papers to the electronic file. Where it is not feasible to do this, settings should ensure the date the paper system ceased is clearly shown on both the paper CP file and the new system. Settings should also make clear there is a previous paper CP file, and that this information is included in any CP file review.

# Secure storage of Child Protection files

For both paper and electronic CP files, the DSL should ensure that the files are stored securely. For paper files this may be within a locked cabinet and for electronic files, this would include secure access arrangements.

# Confidentiality and Child Protection file access requests

CP files are confidential and there should be systems in place to ensure only those who are authorised to access them are able to. There may be specific circumstances where it is appropriate for the DSL to share the CP file, or some of the contents of the file, with another person or agency. In these situations, the advice on information sharing within Part one and Part two of [Keeping children safe in education 2023](https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/1161273/Keeping_children_safe_in_education_2023_-_statutory_guidance_for_schools_and_colleges.pdf) should be followed.

If a setting receives a request for access to a child’s CP file or information within it, for example from a parent/carer, this should be discussed with the [ECC Information Governance team](https://schools.essex.gov.uk/data/information-governance/Pages/default.aspx). Settings should not share any information that may cause risk or harm to the child.

# Child Protection file format – best practice guidance

A CP file should be started for any child for whom a safeguarding concern has been raised. If there are concerns about a family, each sibling should have their own CP file. All information relating to a safeguarding concern should be on the CP file and should not be held in other locations (for example on attendance records, spreadsheets, or emails).

CP files should be organised clearly and in chronological order so that safeguarding concerns can be tracked from the initial concern being raised through to the outcome of the concern.

Each CP file should include:

* a chronology (which is regularly updated and current)
* key family and involved agency details
* a clear record of each concern
* details of how the concern was followed up and resolved, recorded as the response to the concern
* a record of any reviews undertaken of the CP file

*Further information on these sections can be found below and templates to support recording are available* *within the Appendices of this document on the* [*Guidance Documents, Model Policies and Templates page*](https://schools.essex.gov.uk/pupils/Safeguarding/Templates_for_Reporting_and_Recording_Child_Protection_Concerns/Pages/default.aspx) *of the ESI.*

# Recording safeguarding concerns accurately

Each concern recorded on a CP file should be contemporaneous (notes taken as soon as practicable after an event) in case needed for evidence later, and must be detailed and accurate. A report of a concern should include:

Information about the report being made

* the date and time of the report
* the name and role of the person to whom the concern was reported and their contact details
* the name and role of the person making the report, their signature (if it is a paper copy) and their contact details

Information about the incident

* the date and time of the incident/disclosure
* the names of all parties involved in the incident, including any witnesses to an event. Use initials for other children / young people involved, unless there is a specific need to name them in full
* the details of what was said or done and by whom, ensuring the report is factual. Any interpretation or inference drawn from what was observed, said, or alleged should be clearly recorded as such
* use of a body map where required (with the advice of the DSL)

*To support with accurate recording,* *a ‘Child Protection file – report of a concern’ template and a ‘Child Protection file – body map’ are available within the Appendices of this document on the* [*Guidance Documents, Model Policies and Templates page*](https://schools.essex.gov.uk/pupils/Safeguarding/Templates_for_Reporting_and_Recording_Child_Protection_Concerns/Pages/default.aspx) *of the ESI.*

The Designated Safeguarding Lead should ensure that all staff at the setting are trained in how to both respond to and record incidents/disclosures of abuse from children. The NSPCC’s [Let children know you’re listening](https://learning.nspcc.org.uk/research-resources/2019/let-children-know-you-re-listening) is useful resource. As the Designated Safeguarding Lead is responsible for the CP files, they should review each record of a concern and address any issues with the recording of a concern directly with the staff member and use these to inform staff training where necessary.

# Recording the setting response to a safeguarding concern

Once a safeguarding concern has been reported and recorded on the CP file, the actions taken by the setting in response to this, including the rationale for any actions taken and the outcome for each concern, must also be recorded on the CP file next to the concern.

The record should include:

* details of any advice sought, and from whom
* details of any actions taken
* details of referrals made as a result
* copies of relevant correspondence, emails, discussions, meetings, and phone calls with other agencies/the child/the child’s family
* rationales for actions taken or rationales for not acting
* records of professional challenges to other agencies where the setting has concerns about their response
* Minutes of any relevant meetings such as Team Around the Family (TAF) meeting or Child Protection (CP) Conferences
* the outcome of the concern

*‘Child Protection file – Contact record’ and ‘Child Protection file – report of a concern’ templates are available within the Appendices of this document on the* [*Guidance Documents, Model Policies and Templates page*](https://schools.essex.gov.uk/pupils/Safeguarding/Templates_for_Reporting_and_Recording_Child_Protection_Concerns/Pages/default.aspx) *of the ESI.*

# Reviewing Child Protection files

All Child Protection files should be reviewed at regular intervals. Regular reviews provide an opportunity for the DSL to have oversight of the response to safeguarding concerns, follow up any actions or referrals as necessary and ensure that the Child Protection file is up to date and is kept in-line with the guidance in this document. A record of the review should be held on the CP file.

We recommend that all files are reviewed on at least a half-termly basis. For current concerns, the review may be more regular.

*A ‘Child Protection file – review record’ template is available within the Appendices of this document on the* [*Guidance Documents, Model Policies and Templates page*](https://schools.essex.gov.uk/pupils/Safeguarding/Templates_for_Reporting_and_Recording_Child_Protection_Concerns/Pages/default.aspx) *of the ESI.*

# Transferring Child Protection files

The CP file should always follow the child. [Keeping children safe in education 2023](https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/1161273/Keeping_children_safe_in_education_2023_-_statutory_guidance_for_schools_and_colleges.pdf), Annex C, sets out that transfer of the child protection file falls under the role of the Designated Lead. There is a joint responsibility when a pupil starts at a new education setting to ensure the new setting has all existing child protection records. **When a setting admits a new pupil, they must always check with the previous setting whether there are any child protection records to transfer**. However, it is also the responsibility of the original setting to ensure the timely transfer of any CP file to the new one.

In Essex, we advise that the below best practice is followed:

Preparing to send a CP file to another setting

* check the CP file is up-to-date, complete, and tidy. For example, ensure there are no duplicate records (such as emails repeated within email trails) and ensure the file is in chronological order
* ensure the names of any other children are redacted/shortened to initials for confidentiality
* once the file is ready for sending, take a copy of the file to keep at the setting until the new setting has confirmed receipt of the original CP file. This ensures that a copy of the file still exists if the original file is lost in transit
* ensure the original CP file is ready to transfer separately to the pupil file
* the DSL from the previous setting should contact the DSL at the new setting to agree a means of transfer of the records to avoid duplication (for example, if a file is being transferred electronically, it does not need to be sent as a paper copy too)
* the DSL should consider if it would be appropriate to share any information with the new school or college in advance of a child leaving, such as information that would allow the new setting to have support in place for when the child arrives. It may be appropriate to consider including the new setting in meetings that are taking place or to consider a TAF meeting with the new setting included to aid transition planning

Transferring a CP file

* confirm that the child has started at the new setting before sending the CP file. CP files should not be sent in advance of a placement starting, or in July in advance of new academic year, as not all children begin at their intended setting
* once it is confirmed that the child has started at the new setting, transfer the CP file as soon as possible and within the first 5 days of the start of a new term or within 5 days for an in-year transfer
* the original setting should ensure the CP file is addressed and sent directly only to the DSL at the receiving setting. It should not be sent to or left with any other member of staff
* the CP file must be sent securely, whether it is being sent by post or electronically
* where a CP file is sent by post, it should be by secure recorded delivery to a named individual. The receiving setting should receive a telephone call in advance to notify them that the child protection file is being sent. The envelope should be marked as ‘Strictly Confidential’ and for the attention of the named Designated Safeguarding Lead in the new setting. A record of transfer form should be included with the file (available within the Appendices of this document on the [Guidance Documents, Model Policies and Templates page](https://schools.essex.gov.uk/pupils/Safeguarding/Templates_for_Reporting_and_Recording_Child_Protection_Concerns/Pages/default.aspx) of the ESI) for the receiving setting to sign and return

Confirming receipt of a CP file

* the new setting should send confirmation/receipt to the original setting once a CP file is received (this may be electronic or paper, depending on the system used). *If paper copies are being transferred there is a ‘File Transfer Record and Receipt’ template within the Appendices of this document on the* [*Guidance Documents, Model Policies and Templates page*](https://schools.essex.gov.uk/pupils/Safeguarding/Templates_for_Reporting_and_Recording_Child_Protection_Concerns/Pages/default.aspx) *of the ESI*
* the original setting should retain their copy of the CP file until they receive a confirmation of receipt from the new setting. At this point, any paperwork held at the previous setting should be destroyed securely

Transfer from school to college (from Year 11 to Year 12)

The CP file should follow the young person, so the same CP file transfer procedures apply for Year 11 to Year 12 transfers (and subsequently to Year 13 where applicable). However, it is recognised that settings will not always be aware of the Year 12 destination by the end of Year 11. In these cases, they should identify any particularly vulnerable pupils (for example, those subject to a CIN / CP Plan, or those with mental health concerns) and make every effort to establish their new post-16 placement so they can contact them to plan for transition. In the interests of safeguarding young people, it is essential that important information is shared with a new setting as soon as possible. Equally, colleges should consider what information they request from parents / carers and from the previous educational setting to inform their planning to support vulnerable pupils.

Transferring files to Alternative Education Providers

Where a pupil is transferring to a registered Alternative Education setting, the CP file must be transferred to the new setting using the process above. Where a pupil is attending an unregistered setting, the setting and the alternative provider should agree which setting should hold the CP file as part of the safeguarding arrangements for the placement before placement commences. Further information, including what the safeguarding arrangements should cover, can be found with the [‘Safeguarding: Commissioning Alternative Education’ guidance document](https://essexcc.pagetiger.com/safeguarding-AP/1).

Children who leave a setting to be home educated

If a child is taken off role to be home educated, the CP file should be kept by the last setting that the child attends, in line with the setting retention policy (further details on retention can be found in the section below). If the child re-enters the education system, the CP file should be transferred to the new setting at that point. If a home educated child starts at a new setting, efforts should be made by that setting to discover the last setting the child attended and enquire whether there is a CP file.

# Retention of Child Protection files

CP files should be stored until the child’s 25th birthday by the last education setting the child attends. When a file is destroyed, settings must ensure this is done securely and confidentially. Settings can contact the [ECC Information Governance team](https://schools.essex.gov.uk/data/information-governance/Pages/default.aspx) for further advice and guidance on the retention of CP files.